



ATTACHMENT A

PATENT

Attorney Docket: SOM920000009US1/1963-7398

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of: :
Applicants: Hua CHEN et al. : Group Art Unit: 2176
Serial No.: 09/727,491 : Examiner: Unassigned
Filed: December 4, 2000 :
For: AUTOMATIC, MULTI-STAGE RICH MEDIA CONTENT CREATION
USING A FRAMEWORK BASED DIGITAL WORKFLOW – SYSTEMS,
METHODS AND PROGRAM PRODUCTS

PETITION TO CORRECT INVENTORSHIP PURSUANT TO RULE 1.48(a)

Commissioner for Patents
Washington, D.C. 20231

Sir:

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JUL 03 2001
Technology Center 2100

Applicants hereby petition the Commissioner to amend the above-identified application to correct the inventorship by adding the name “Qun Zhou” as a co-inventor.

The omission of Mr. Qun Zhou as a co-inventor was through error without any deceptive intention on his part. Included herewith is a Declaration under 37 C.F.R. 1.48(a) by Mr. Zhou swearing that it was an error to omit him as inventor of the invention claimed in the application and that the error occurred without any deceptive intent on his part.

Accordingly, this Petition is submitted to correct the inventorship of this application.

Entry of the Petition and favorable consideration thereof are respectfully requested.

Serial No.: 09/727,491

Dkt. No.: SOM920000009US1/1963-7398

AUTHORIZATION:

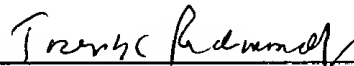
The Commissioner is hereby authorized to charge any additional fees, which may be required for this amendment, or credit any overpayment to IBM Corporation Deposit Account No. 09-0459. Order No. SOM920000009US1/1963-7398. A DUPLICATE COPY OF THIS PETITION IS ENCLOSED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: June 26, 2001

By:



Joseph C. Redmond, Jr.
Registration No. 18,753
(202) 857-7887 Telephone
(202) 857-7929 Facsimile

CORRESPONDENCE ADDRESS:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue,
New York, New York 10154-0053



PATENT
Attorney Docket: SOM920000009US1/1963-7398

2176se
#6
8/7/01

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Applicants: Hua CHEN et al.

: Group Art Unit: 2176 JUL 03 2001

Serial No.: 09/727,491

: Examiner: Unassigned Technology Center 2100

Filed: December 4, 2000

For: AUTOMATIC, MULTI-STAGE RICH MEDIA CONTENT CREATION USING
A FRAMEWORK BASED DIGITAL WORKFLOW – SYSTEMS, METHODS
AND PROGRAM PRODUCTS

Commissioner for Patents
Washington, D.C. 20231

AMENDMENT TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48(a)

Dear Sir:

In accordance with 37 C.F.R. 1.48(a), Applicants' submit a Petition and associated documents to add an inventor to the above-identified application.

In the application, please add the following inventor:

Qun ZHOU
14 West View Avenue
White Plains, NY 10603

The above-identified application is being amended to add Qun ZHOU as co-inventor with Hua CHEN, Shu-Chun Jeane CHEN, Pierre DARMON, Shang Q. GUO, Keeranoor G. KUMAR, Edward C. SNIBLE and Kshitij M. VICHARE, the inventors at the time the application was filed in the United States Patent and Trademark Office on December 4, 2000.

As required under 37 C.F.R. 1.48(a), submitted herewith are the following attached documents:

1. Petition to Correct Inventorship Pursuant to Rule 1.48(a). – ATTACHMENT A

08/08/2001 SL:HP/HH 00000001 090459 2176se
01 FC:122 130.00 CH

2. Declaration of Qun ZHOU Pursuant to a Petition to Correct Inventorship Under Rule 1.48(a). – ATTACHMENT B.

3. Written Consent of the Assignee to correct inventorship. - ATTACHMENT C.

4. Amended Declaration & Power of Attorney and Assignment for the Above Designated Application. – ATTACHMENT D.

REMARKS

Entry and favorable consideration of the Amendment; the Petition To Correct Inventorship; the Declaration Of Qun Zhou; the Written Consent Of The Assignee to add Qun ZHOU as an inventor and the Amended Declaration & Power of Attorney for the above-identified application are requested.

AUTHORIZATION:

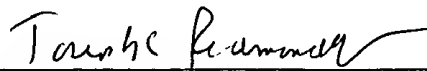
The Commissioner is hereby authorized to charge Deposit Account 09-0459, Order No. SOM920000009/1963-7398 for the amount of \$130.00 in payment of the fee in this matter as specified under 37 C.F.R. 1.17(i). A DUPLICATE COPY OF THIS PETITION IS ENCLOSED.

The Commissioner is hereby authorized to charge any additional fees which may be required for this amendment, or credit any overpayment to IBM Corporation Deposit Account No. 09-0459. Order No. SOM920000009US1/1963-7398. A DUPLICATE COPY OF THIS PETITION IS ENCLOSED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Date: June 26, 2001

By:

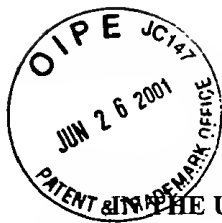


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CORRESPONDENCE ADDRESS:

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New York, New York 10154-0053

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ATTACHMENT C

PATENT

Attorney Docket: SOM920000009US1/1963-7398

THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of:

Applicants: Hua CHEN et al

Serial No.: 09/727,491

Filed: December 4, 2000

For: AUTOMATIC, MULTI-STAGE RICH MEDIA CONTENT CREATION USING A
FRAMEWORK BASED DIGITAL WORKFLOW - SYSTEMS, METHODS AND
PROGRAM PRODUCTS

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Technology Center 2100

: Group Art Unit: 2176

: Examiner: Unassigned

WRITTEN CONSENT OF THE ASSIGNEE

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

IBM, a New York corporation, located at Armonk, New York is the assignee of the present application by virtue of an assignment filed on March 15, 2001, a copy of which is attached hereto along with the postcard date-stamped by the PTO mailroom acknowledging receipt of the assignment.

IBM assent to the correction of inventorship filed herewith.

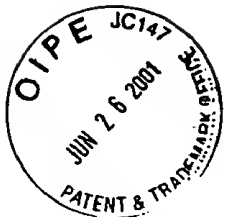
I, Jeffrey Forman signing below, aver that I am empowered to sign this statement on behalf of the assignee. A statement under 37 CFR 3.73(b) is attached.

Jeffrey L. Forman
Signature of authorized person

Date: 13 June 2001

Jeffrey L. Forman
Type or Print name of authorized person

Manager, Information Services, Intellectual Property Law, Washington, D.C.
Title of authorized person



ATTACHMENT D

DOCKET NO. SOM9-2000-0009/1963-7398

**AMENDED DECLARATION AND POWER OF ATTORNEY FOR
PATENT APPLICATION**

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to my name;

We believe we are the original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**AUTOMATIC, MULTI-STAGE RICH MEDIA CONTENT CREATION USING A
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the specification of which: (check one)

☐ is attached hereto.

☒ was filed on December 4, 2000,
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We hereby state that we have reviewed and understand the contents of the above- identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR §1.56.

We hereby claim the benefit of foreign priority under 35 USC §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application the priority of which is claimed:

Prior Foreign Application(s):

Priority Claimed

(Number)

(Country)

(Filing Date)

☐ Yes ☐ No

We hereby claim the benefit of United States priority under 35 USC §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in a listed prior United States application in the manner provided by the first paragraph of 35 USC §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial #)

(Filing Date)

(Status)

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, we hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Steven J. Meyers, Reg. No. 29,330; Frederick T. Boehm, Reg. No. 32,458; Winfield J. Brown, Jr., Reg. No. 31,901; JoAnn Kealy Crockett, Reg. No. 36,493; David M. Shofi, Reg. No. 39,835; Christopher A. Hughes, Reg. No. 26,914; Joseph C. Redmond, Jr., Reg. No. 18,753; John E. Hoel, Reg. No. 26,279; Stanley B. Green, Reg. No. 24,351 and Michael S. Marcus, Reg. No. 32,727..

Send correspondence to Morgan & Finnegan, LLP, 345 Park Avenue, New York, NY 10154 and direct all telephone calls to Joseph C. Redmond, Jr., at (202) 857-7887.

Full name of inventor: **Hua CHEN**

Inventor's signature _____ Date: _____

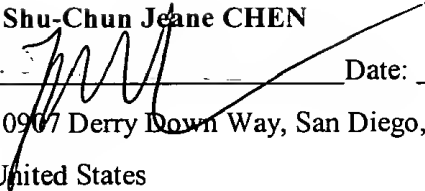
Residence: 81 Charter Circle, Apt. 5M, Ossining, New York 10562

Citizenship: Peoples Republic of China

Post Office Address: 81 Charter Circle, Apt. 5M, Ossining, New York 10562

DOCKET NO. SOM9-2000-0009/1963-7398

Full name of inventor: **Shu-Chun Jeane CHEN**

Inventor's signature  Date: 6/2/01

Residence: 10907 Derry Down Way, San Diego, California 92121

Citizenship: United States

Post Office Address: 10907 Derry Down Way, San Diego, California 92121

Full name of inventor: **Pierre DARMON**

Inventor's signature _____ Date: _____

Residence: 11 New York Avenue, White Plains, New York 10606

Citizenship: France

Post Office Address: 11 New York Avenue, White Plains, New York 10606

Full name of inventor: **Shang Q. GUO**

Inventor's signature _____ Date: _____

Residence: 1630 Crescent Drive, Tarrytown, New York 10591

Citizenship: United States

Post Office Address: 1630 Crescent Drive, Tarrytown, New York 10591

Full name of inventor: **Keeranoor G. KUMAR**

Inventor's signature _____ Date: _____

Residence: 34 Fords Road, Randolph, New Jersey 07869

Citizenship: India

Post Office Address: 34 Fords Road, Randolph, New Jersey 07869

Full name of inventor: **Edward C. SNIBLE**

Inventor's signature _____ Date: _____

Residence: 232 West 14th Street, Apt. 5B, New York, New York 10011

Citizenship: United States

Post Office Address: 232 West 14th Street, Apt. 5B, New York, New York 10011

Full name of inventor: **Kshitij M. VICHARE**

Inventor's signature _____ Date: _____

Residence: 66 Maple Row Road, P. O. Box 121, Crompond, New York 10517

Citizenship: India

Post Office Address: 66 Maple Row Road, P. O. Box 121, Crompond, New York 10517

Full name of inventor: **Qun ZHOU**

Inventor's signature _____ Date: _____

Residence: 14 West View Avenue, White Plains, NY 10603

Citizenship: Peoples Republic of China

Post Office Address: Same as above.

ATTACHMENT D

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the specification of which: (check one)

- ☐ is attached hereto.
- ☒ was filed on December 4, 2000,
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and was amended on _____ (if applicable).

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Priority Claimed

(Number)

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(Filing Date)

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Inventor's signature _____ Date: _____

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Citizenship: Peoples Republic of China

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Docket NO. SOM9-2000-0009/1963-7398

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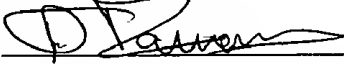
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Citizenship: United States

Post Office Address: 10907 Derry Down Way, San Diego, California 92121

Full name of inventor: **Pierre DARMON**

Inventor's signature  Date: 5/22/01

Residence: 11 New York Avenue, White Plains, New York 10606

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Post Office Address: 11 New York Avenue, White Plains, New York 10606

Full name of inventor: **Shang Q. GUO**

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Full name of inventor: **Hua CHEN**

Inventor's signature _____ Date: _____

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Citizenship: Peoples Republic of China

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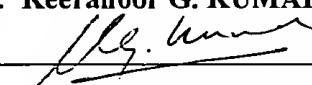
Inventor's signature _____ Date: _____

Residence: 1630 Crescent Drive, Tarrytown, New York 10591

Citizenship: United States

Post Office Address: 1630 Crescent Drive, Tarrytown, New York 10591

Full name of inventor: **Keeranoor G. KUMAR**

Inventor's signature  Date: May 21, 2001

Residence: 34 Fords Road, Randolph, New Jersey 07869

Citizenship: India

Post Office Address: 34 Fords Road, Randolph, New Jersey 07869

Full name of inventor: **Edward C. SNIBLE**

Inventor's signature _____ Date: _____

Residence: 232 West 14th Street, Apt. 5B, New York, New York 10011

Citizenship: United States

Post Office Address: 232 West 14th Street, Apt. 5B, New York, New York 10011

Full name of inventor: **Kshitij M. VICHARE**

Inventor's signature _____ Date: _____

Residence: 66 Maple Row Road, P. O. Box 121, Crompond, New York 10517

Citizenship: India

Post Office Address: 66 Maple Row Road, P. O. Box 121, Crompond, New York 10517

Full name of inventor: **Qun ZHOU**

Inventor's signature _____ Date: _____

Residence: 14 West View Avenue, White Plains, NY 10603

Citizenship: Peoples Republic of China

Post Office Address: Same as above.

ATTACHMENT D

DOCKET NO. SOM9-2000-0009/1963-7398

**AMENDED DECLARATION AND POWER OF ATTORNEY FOR
PATENT APPLICATION**

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to my name;

We believe we are the original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**AUTOMATIC, MULTI-STAGE RICH MEDIA CONTENT CREATION USING A
FRAMEWORK BASED DIGITAL WORKFLOW - SYSTEMS, METHODS AND
PROGRAM PRODUCTS**

the specification of which: (check one)

- ☐ is attached hereto.
- ☒ was filed on December 4, 2000,
under Attorney's Docket Number SOM920000009US1/1963-7398 as Application Serial No.
09/727,491
and was amended on _____ (if applicable).

We hereby state that we have reviewed and understand the contents of the above- identified specification, including the claims, as amended by any amendment referred to above.

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We hereby claim the benefit of foreign priority under 35 USC §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application the priority of which is claimed:

Prior Foreign Application(s):

Priority Claimed

(Number)

(Country)

(Filing Date)

☐ Yes ☐ No

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<u> </u> (Application Serial #)	<u> </u> (Filing Date)	(Status)
---	--	----------

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, we hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Steven J. Meyers, Reg. No. 29,330; Frederick T. Boehm, Reg. No. 32,458; Winfield J. Brown, Jr., Reg. No. 31,901; JoAnn Kealy Crockett, Reg. No. 36,493; David M. Shofi, Reg. No. 39,835; Christopher A. Hughes, Reg. No. 26,914; Joseph C. Redmond, Jr., Reg. No. 18,753; John E. Hoel, Reg. No. 26,279; Stanley B. Green, Reg. No. 24,351 and Michael S. Marcus, Reg. No. 32,727..

Send correspondence to Morgan & Finnegan, LLP, 345 Park Avenue, New York, NY 10154 and direct all telephone calls to Joseph C. Redmond, Jr., at (202) 857-7887.

Full name of inventor: **Hua CHEN**

Inventor's signature _____ Date: _____

Residence: 81 Charter Circle, Apt. 5M, Ossining, New York 10562

Citizenship: Peoples Republic of China

Post Office Address: 81 Charter Circle, Apt. 5M, Ossining, New York 10562

DOCKET NO. SOM9-2000-0009/1963-7398

Full name of inventor: **Shu-Chun Jeane CHEN**

Inventor's signature _____ Date: _____

Residence: 10907 Derry Down Way, San Diego, California 92121

Citizenship: United States

Post Office Address: 10907 Derry Down Way, San Diego, California 92121

Full name of inventor: **Pierre DARMON**

Inventor's signature _____ Date: _____

Residence: 11 New York Avenue, White Plains, New York 10606

Citizenship: France

Post Office Address: 11 New York Avenue, White Plains, New York 10606

Full name of inventor: **Shang Q. GUO**

Inventor's signature _____ Date: _____

Residence: 1630 Crescent Drive, Tarrytown, New York 10591

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Post Office Address: 1630 Crescent Drive, Tarrytown, New York 10591

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Inventor's signature _____ Date: _____

Residence: 34 Fords Road, Randolph, New Jersey 07869

Citizenship: India

Post Office Address: 34 Fords Road, Randolph, New Jersey 07869

Full name of inventor: **Edward C. SNIBLE**

Inventor's signature  Date: 5-22-01

Residence: 232 West 14th Street, Apt. 5B, New York, New York 10011

Citizenship: United States

Post Office Address: 232 West 14th Street, Apt. 5B, New York, New York 10011

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Full name of inventor: **Qun ZHOU**

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Residence: 14 West View Avenue, White Plains, NY 10603

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Post Office Address: Same as above.

ATTACHMENT D

DOCKET NO. SOM9-2000-0009/1963-7398

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PROGRAM PRODUCTS**

the specification of which: (check one)

☐ is attached hereto.

☒ was filed on December 4, 2000,
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Full name of inventor: **Hua CHEN**

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Citizenship: Peoples Republic of China

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DOCKET NO. SOM9-2000-0009/1963-7398

Full name of inventor: **Shu-Chun Jeane CHEN**

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Citizenship: United States

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Citizenship: United States

Post Office Address: 232 West 14th Street, Apt. 5B, New York, New York 10011

Full name of inventor: **Kshitij M. VICHARE**

Inventor's signature *Kshitij M. Vichare* Date: 05/24/2001

Residence: 66 Maple Row Road, P. O. Box 121, Crompond, New York 10517

Citizenship: India

Post Office Address: 66 Maple Row Road, P. O. Box 121, Crompond, New York 10517

Full name of inventor: **Qun ZHOU**

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ATTACHMENT D

DOCKET NO. SOM9-2000-0009/1963-7398



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JUL 03 2001
Technology Center 2100

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Full name of inventor: **Hua CHEN**

Inventor's signature _____ Date: _____

Residence: 81 Charter Circle, Apt. 5M, Ossining, New York 10562

Citizenship: Peoples Republic of China

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DOCKET NO. SOM9-2000-0009/1963-7398

Full name of inventor: **Shu-Chun Jeane CHEN**

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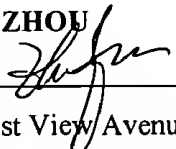
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Full name of inventor: **Qun ZHOU**

Inventor's signature  Date: 06/08/2001

Residence: 14 West View Avenue, White Plains, NY 10603

Citizenship: Peoples Republic of China

Post Office Address: Same as above.

ATTACHMENT D

DOCKET NO. SOM9-2000-0009/1963-7398



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the specification of which: (check one)

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JUL 03 2001
Technology Center 2100

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Full name of inventor: **Hua CHEN**

Inventor's signature

Date:

06/21/01

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14 Westview Avenue, White Plains, NY 10603 C.H.
~~81 Charter Circle, Apt. 5M, Ossining, New York 10562~~

Citizenship:

Peoples Republic of China

Post Office Address:

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14 Westview Avenue, White Plains, NY 10603 C.H.

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Citizenship: Peoples Republic of China

Post Office Address: Same as above.

CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: Hua Chen et al.

Application No.: 09/727,491 Filed: December 4, 2000

Entitled: Automatic, Multi-stage Rich Media Content Creation Using A
Framework Based Digital Workflow-Systems, Methods And Program
Products

International Business Machines
Corporation

, a corporation of New York

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

June 13, 2001

Date

Jeffrey Z. Forman
Signature

J. L. Forman

Typed or printed name

Docket # SOM9-2000-0009/1963-7398 Program Manager, Patent Office Liaison

Title

LETTER OF AUTHORITY

I, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney; authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; request for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Jeffrey L. Forman, Program Manager, Patent Office Liaison.

Date: Aug. 4, 2000



Gerald Rosenthal
Vice President, Intellectual Property & Licensing
International Business Machines Corporation

RECORDATION FORM COVER SHEET PATENTS ONLY

To The Honorable Commissioner of Patents and Trademarks.
Please record the attached original documents or copy thereof

<p>1. Name of conveying party(ies): Hua CHEN, Shu-Chun Jeane CHEN, Pierre DARMON, Shang Q. GUO Keeranoor G. KUMAR Edward C. SNIBLE and Kshitij M. VICHARE</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2 Name and address of receiving party(ies):</p> <p>Name. International Business Machines Corporation Address. New Orchard Road Armonk NY 10504</p> <p>Additional name(s) & addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>3. Nature of conveyance:</p> <p><input checked="" type="checkbox"/> Assignment</p> <p>Execution Date(s). 12/4/00 and 01/24/01</p>	<p>4 Patent Application number. 09/727,491</p> <p>Execution Date. 11/27/00, 12/01/00 and 11/22/00</p>
<p>5 Name and address of party to which correspondence concerning document should be mailed:</p> <p>Name. Joseph C. Redmond, Jr., Esquire Address. MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, NY 10154</p>	<p>6. Total number of applications involved: 1</p> <p>7. Total fee (37 CFR 3.41): \$40.00</p> <p><input checked="" type="checkbox"/> Charge to Deposit Account No.: 09-0459, Order No. SOM920000009US1/1963-7398</p> <p><input checked="" type="checkbox"/> Charge any deficiencies to Deposit Account 09-0459, Order No. SOM920000009US1/1963-7398 (A duplicate copy of this sheet is enclosed)</p>



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JUL 03 2001

Technology Center 2100

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of original document.

John E. Hoel, Reg. No. 26,279

Date. March 15, 2001

Total no. of pages including cover sheet, attachments and document: 2

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Director of Patents
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Washington, DC 20231

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21269_1

Docket No. SOM9-2000-0009/1963-7398

ASSIGNMENT

Whereas, we

(1) **Hua CHEN**
County of **WESTCHESTER**,

City of **OSSINING**,
and State of **NEW YORK**,

(2) **Shu-Chun Jeane CHEN**
County of **SAN DIEGO**,

City of **SAN DIEGO**,
and State of **CALIFORNIA**,

(3) **Pierre DARMON**
County of **WESTCHESTER**,

City of **NEW YORK**,
and State of **NEW YORK**,

(4) **Shang Q. GUO**
County of **WESTCHESTER**,

City of **TARRYTOWN**,
and State of **NEW YORK**,

(5) **Keeranoor G. KUMAR**
County of **MORRIS**,

City of **RANDOLPH**,
and State of **NEW JERSEY**,

(6) **Edward C. SNIBLE**
County of **MANHATTAN**,

City of **NEW YORK**,
and State of **NEW YORK**,

and (7) **Kshitij M. VICHARE**
County of **WESTCHESTER**,

City of **CROMPOND**,
and State of **NEW YORK**

We have invented certain improvements in

**AUTOMATIC, MULTI-STAGE RICH MEDIA CONTENT CREATION USING A
FRAMEWORK BASED DIGITAL WORKFLOW - SYSTEMS, METHODS AND PROGRAM
PRODUCTS**

and have executed, respectively, a United States patent application therefor on

(1) 12/04, 2000; (2) _____, 2000; (3) 12/4/00, 2000;
(4) 12/04, 2000; (5) 12/04, 2000; (6) 12/04, 2000; and
(7) 12/04, 2000

Docket No. SOM9-2000-0009/1963-7398

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED:

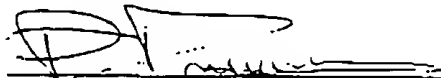
(1) at Hawthorne, NY
on 12/04/00


Hua CHEN

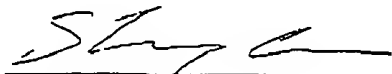
(2) at _____
on _____

Shu-Chun Jeane CHEN

(3) at Hawthorne, NY
on 12/04/00


Pierre DARMON

(4) at Hawthorne NY
on 12/14/00

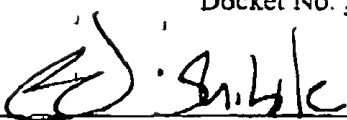

Shang Q. GUO

(5) at Hawthorne, NY
on 12/04/00

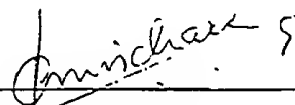

Keeranoor G. KUMAR

Docket No. SOM9-2000-0009/1963-7398

(6) at Hawthorne, NY
on 12/04/00
and


Edward C. SNIBBLE

(7) at Hawthorne, NY
on 12/04/00


Kshiraj M. VICHARE

Docket No. SOM920000009/1963-7398

ASSIGNMENT

Whereas, we

(1) **Hua CHEN**
County of **WESTCHESTER,**

City of **OSSINING,**
and State of **NEW YORK,**

(2) **Shu-Chun Jeane CHEN**
County of **SAN DIEGO,**

City of **SAN DIEGO,**
and State of **CALIFORNIA,**

(3) **Pierre DARMON**
County of **WESTCHESTER,**

City of **NEW YORK,**
and State of **NEW YORK,**

(4) **Shang Q. GUO**
County of **WESTCHESTER,**

City of **TARRYTOWN,**
and State of **NEW YORK,**

(5) **Keeranoor G. KUMAR**
County of **MORRIS,**

City of **RANDOLPH,**
and State of **NEW JERSEY,**

(6) **Edward C. SNIBLE**
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City of **NEW YORK,**
and State of **NEW YORK,**

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FRAMEWORK BASED DIGITAL WORKFLOW - SYSTEMS, METHODS AND PROGRAM
PRODUCTS**

and have executed, respectively, a United States patent application therefor on

(1) _____, 2000; (2) 1/24, 2000; (3) _____, 2000;

(4) _____, 2000; (5) _____, 2000; (6) _____, 2000; and

(7) _____, 2000

Docket No. SOM920000009/1963-7398

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

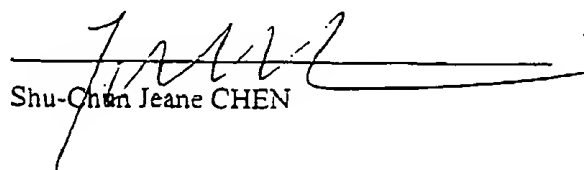
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

SIGNED:

(1) at _____,
on _____

Hua CHEN

(2) at San Diego, CA,
on 1/24/01


Shu-Chen Jeane CHEN

(3) at _____,
on _____

Pierre DARMON

(4) at _____,
on _____

Shang Q. GUO

(5) at _____,
on _____

Keeranoor G. KUMAR

Docket No. SOM920000009/1963-7398

(6) at _____,

on _____

and

Edward C. SNIBLE

(7) at _____,

on _____

Kshitij M. VICHARE

ASSIGNMENT

Whereas, we

- | | |
|--|--|
| (1) Hua CHEN
County of WESTCHESTER , | City of OSSINING ,
and State of NEW YORK , |
| (2) Shu-Chun Jeane CHEN
County of SAN DIEGO , | City of SAN DIEGO ,
and State of CALIFORNIA , |
| (3) Pierre DARMON
County of WESTCHESTER , | City of NEW YORK ,
and State of NEW YORK , |
| (4) Shang Q. GUO
County of WESTCHESTER , | City of TARRYTOWN ,
and State of NEW YORK , |
| (5) Keeranoor G. KUMAR
County of MORRIS , | City of RANDOLPH ,
and State of NEW JERSEY , |
| (6) Edward C. SNIBLE
County of MANHATTAN , | City of NEW YORK ,
and State of NEW YORK , |
| (7) Kshitij M. VICHARE
County of WESTCHESTER ,
and | City of CROMPOND ,
and State of NEW YORK |
| (8) QUN ZHOU
County of WESTCHESTER , | City of WHITE PLAINS ,
and State of NEW YORK |

We have invented certain improvements in

**AUTOMATIC, MULTI-STAGE RICH MEDIA CONTENT CREATION USING A
FRAMEWORK BASED DIGITAL WORKFLOW - SYSTEMS, METHODS AND PROGRAM
PRODUCTS**

and have executed, respectively, a United States patent application therefor on

- (1) _____, 2000; (2) _____, 2000; (3) _____, 2000;
(4) _____, 2000; (5) _____, 2000; (6) _____, 2000;
(7) _____, 2000; and (8) Shankar, 2001

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

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SIGNED:

(1) at _____, _____
on _____ Hua CHEN

(2) at _____, _____
on _____ Shu-Chun Jeane CHEN

(3) at _____, _____
on _____ Pierre DARMON

(4) at _____, _____
on _____ Shang Q. GUO

(5) at _____, _____
on _____ Keeranoor G. KUMAR

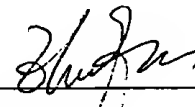
(6) at _____,
on _____

Edward C. SNIBBLE

(7) at _____,
on _____
and

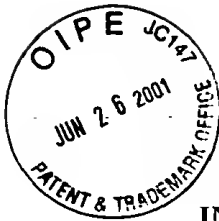
Kshitij M. VICHARE

(8) at Cortlandt Manor/NY,
on 05/25/2001


Qun ZHOU

#8
8/7/01

ATTACHMENT B



RECEIVED

JUL 03 2001

Technology Center 2100

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

PATENT

Attorney Docket: SOM920000009US1/1963-7398

In re Application of: :

Applicants: Hua CHEN et al. : Group Art Unit: 2176

Serial No.: 09/727,491 : Examiner: Unassigned

Filed: December 4, 2000 :

For: AUTOMATIC, MULTI-STAGE RICH MEDIA CONTENT CREATION
USING A FRAMEWORK BASED DIGITAL WORKFLOW – SYSTEMS,
METHODS AND PROGRAM PRODUCTS

**DECLARATION OF QUN ZHOU PURSUANT TO A
PETITION TO CORRECT INVENTORSHIP UNDER RULE 1.48(a)**

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

I, Qun Zhou, declare that it was an error to omit me as an inventor of the invention claimed in above-identified application, and that the error in failing to name me inventor arose without any deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true; and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 06/08/2001

By: 
Qun Zhou